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United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vingmia 22313-1450 www.usplo.gov

APPLICATION NUMBER

DARBY & DARBY P.C.

NEW YORK, NY 10150152

P. O. BOX 5257 🔥

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/824.318

04/12/2004

Billy J. Adams

01826/100M252-US1

CONFIRMATION NO. 5854

FORMALITIES LETTER

OC000000013044008

Date Mailed: 06/23/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$349 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are 由路路

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$799 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$349

08/16/2004 WABDELR1 00000039 10824319

■ \$43 for 1 independent claims over 3.

■ \$306 for 34 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Express Mail Label No.	Dated:

Docket No.: 01826/100M252-US1

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Billy J. Adams

Application No.: 10/824,319

Filed: April 12, 2004

For: SPIN FILTER SYSTEM

Confirmation No.: 5854

Art Unit: N/A

Examiner: Not Yet Assigned

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed June 23, 2004, Applicant respectfully submits a Combined Declaration and Power of Attorney, the Filing Fee for the Application (as shown on accompanying Fee Transmittal), and Part 2 Copy of Notice.

Application No.: 10/824,319 2 Docket No.: 01826/100M252-US1

Our check in the amount of \$839.00 covering the fee set forth in 37 CFR 1.16(e) and the filing fee for the subject application is enclosed. The Commissioner is authorized to charge any deficiency of up to \$300.00 or credit any excess in this fee to Deposit Account No. 04-0100.

Dated: August 12, 2004

Respectfully submitted,

By ________ Edward J. Ellis

Registration No.: 40,389 DARBY & DARBY P.C.

P.O. Box 5257

New York, New York 10150-5257

(212) 527-7700

(212) 753-6237 (Fax)

Attorneys/Agents For Applicant

PTO/SB/17 (10-03)
Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE	TRANSMITTAL
	for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

Complete if Known				
Application Number	10/824,319-Conf. #5854			
Filing Date	April 12, 2004			
First Named Inventor	Billy J. Adams			
Examiner Name	Not Yet Assigned	_		
Art Unit	N/A			
Attorney Docket No.	01826/100M252-US1			

TOTAL AMOUNT OF PAYMENT	(\$) 839.00		Attom	ey Do	cket No	0.	01826/100M252-US1	
METHOD OF PAYMENT (check a	ll that apply)				FEE	CALCUL	ATION (continued)	
X Check Card Money Order	Other None	3. A	DDITIO	ONAL	FEES			•
Deposit Account:	 1	Large	Entity	Small	Entity			
Deposit Account 04-0100		Fee	Fee	Fee	Fee	-	Fee Description	
Number		Code	(\$)	Code	(\$)		r co bescription	Fee Paid
Deposit Account Darby & Darby P.C		1051	130	2051	65	Surcharge	- late filing fee or oath	65.00
Name The Director is authorized to: (check all that ap,		1052	50	2052	25		 late provisional filing fee or cover 	
["	4050	400	4050	400	sheet.		
Charge fee(s) indicated below X Cre	dit any overpayments	1053	130	1053	130	Non-Englis	h specification	
Charge any additional fee(s) or any underpay	ment of fee(s)	1812	2,520	1812	2,520	_	equest for ex parte reexamination	
Charge fee(s) indicated below, except for the	filing fee	1804	920*	1804	920*	Requesting Examiner a	publication of SIR prior to	
to the above-identified deposit account.		1805	1,840*	1805	1,840*		publication of SIR after	
FEE CALCULATION		1251	110	2251	55	Examiner a Extension f	or reply within first month	
1. BASIC FILING FEE		1252	420	2252	210		or reply within second month	
Large Entity Small Entity		1253	950	2253	475		or reply within third month	
Fee Fee Fee Fee Fee Fee Descript Code (\$) Code (\$)	on Fee Pald	1254	1,480	2254	740	Extension f	or reply within fourth month	
1001 770 2001 385 Utility filing fee	385.00	1255	2,010	2255	1,005	Extension f	or reply within fifth month	
1002 340 2002 170 Design filing fe	e	1401	330	2401	165	Notice of A	• •	
1003 530 2003 265 Plant filing fee		1402	330	2402	165	Filing a brie	of In support of an appeal	
1004 770 2004 385 Reissue filing	ee	1403	290	2403	145	Request for	r oral hearing	
1005 160 2005 80 Provisional filin	g fee	1451	1,510	1451	1,510	Petition to i	nstitute a public use proceeding	
SUBTOTAL (1) (\$)	1452	110	2452	55	Petition to	revive – unavoidable		
30510172(1)	1453	1,330	2453	665	Petition to I	revive - unintentional		
2. EXTRA CLAIM FEES FOR UTILITY		1501	1,330	2501	665	Utility issue	fee (or reissue)	
Extra Fee fi Claims belo		1502	480	2502	240	Design issu	ie fee	
Total Claims 54 -20** = 34 x 9.0	306.00	1503	640	2503	320	Plant issue	fee	
Independent 4 -3** = 1 x 43.0	0 = 43.00	1460	130	1460	130	Petitions to	the Commissioner	
Multiple Dependent	=	1807	50	1807	50	Processing	fee under 37 CFR 1.17(q)	
Large Entity Small Entity		1806	180	1806	180	Submission	of Information Disclosure Stmt	
Fee Fee Fee Fee Fee	cription	8021	40	8021	40		each patent assignment per	40.00
Code (\$) Code (\$)	20						mes number of properties) omission after final rejection	
1201 86 2201 43 Independent claims		1809	770	2809	385	(37 ČFR 1.	129(a))	<u> </u>
1203 290 2203 145 Multiple dependent		1810	770	2810	385		dditional invention to be 37CFR 1.129(b))	
1204 86 2204 43 ** Reissue indepen		1801	770	2801	385		r Continued Examination (RCE)	
over original pate		1802	900	1802	900		r expedited examination	
1205 18 2205 9 ** Reissue claims in and over original		Other f	ee (spec	cify)		of a design	аррисацоп	$\vdash \vdash \vdash$
SUBTOTAL (2) (5)	349.00		ced by E	• •	lina Fee	Paid	SUBTOTAL (3) (\$)	105.00
**or number previously paid, if greater; For Reis	1/600	cou by E	Jesic Fi	ıyı ce	, alu	202101VE (3) [(4)	100.00	

Name (Print/Type) Edward J. Ellis Registration No. (Attorney/Agent) 40,389 Telephone (212) 527-7704 Signature Date August 12, 2004	SUBMITTED BY						(Complete	(if applicable))	
Signature Date August 12, 2004	Name (Print/Type)	Edward J. Kilis	s /	$\mathcal{T}^{}$		40,389	Telephone	(212) 527-7704	
	Signature		2/1		~		Date	August 12, 2004	

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Express Mail Label No.	Dated:	





Application No. (if known): 10/824,319

Attorney Docket No.: 01826/100M252-US1

Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as in an envelope addressed to: Express Mail, Airbill No.

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

August 12, 2004 Date

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify

each submitted paper.

Response to Notice to File Missing Parts of Application (2 pages)

Part 2 Copy of Notice Fee Transmittal (1 page)

Combined Declaration and Power of Attorney

Recordation Form Cover Sheet (1 page)

Assignment (2 pages)

Check in the amount of \$839.00

Return Postcard.